

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT \$ 0.00****Complete if Known**

Application Number	09/742,660
Filing Date	21 December 2000
First Named Inventor	Hidenori Nishikawa
Examiner Name	
Group Art Unit	2171
Attorney Docket No.	JP9 2000 0205 US1

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any overpayment to:

Deposit Account Number **09-0469**  
Deposit Account Name

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATIONS****1. BASIC FILING FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	
106 320 206 160		Design filing fee	
107 490 207 245		Plant filing fee	
108 710 208 355		Reissue filing fees	
114 150 214 75		Provisional filing fee	

**SUBTOTAL (1) (\$ 0.00)****2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims -20** =	X		
Independent Claims - 3** =	X		
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 80 209 40		**Reissue independent claims over Original patent

**SUBTOTAL (2) \$ 0.00**

\*\* or number previously paid, if greater; For Reissue, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65			Surcharge - late filing fee or oath	
127 50 227 25			Surcharge - late provisional filing fee or cover sheet	
139 130 139 130			Non-English specification	
147 2,250 147 2,250			For filing a request for ex parte reexamination	
112 920* 112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	
115 110 115 55			Extension for reply within first month	
116 390 216 195			Extension for reply within 2nd month	
117 890 217 445			Extension for reply within third month	
118 1,390 218 695			Extension for reply within fourth month	
128 1,890 228 945			Extension for reply within fifth month	
119 310 219 155			Notice of Appeal	
120 310 220 155			Filing a brief in support of an appeal	
121 270 221 135			Request for oral hearing	
138 1,510 138 1,510			Petition to institute a public use proceeding	
140 110 240 55			Petition to revive - unavoidable	
141 1,240 241 620			Petition to revive - unintentional	
142 1,240 242 620			Utility issue fee (or reissue)	
143 440 243 220			Design issue fee	
144 600 244 300			Plant issue fee	
122 130 122 130			Petition to the Commissioner	
123 50 123 50			Processing fee under 37 CFR 1.117(q)	
126 180 126 180			Submission of Information Disclosure Stmt	
581 40 581 40			Recording each patent assignment per Property (times number of properties)	
146 710 246 355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355			For each additional invention to be Examined (37 CFR § 1.129(b))	
179 710 279 355			Request for continued Examination (RCE)	
169 900 169 900			Request for expedited examination Of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)****\$ 0.00****SUBMITTED BY**

Name (Print/Type)	Norman L. Gundel	Registration No. (Attorney/Agent)	30,387	Telephone	(704) 594-8302
Signature	Norman L. Gundel	Date	Nov 1, 2001		



2171

PTOSB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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Group Art Unit	2171
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Attorney Docket Number	JP9 2000 0205 US1

Total Number of Pages in This Submission

4

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communications to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Changes of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Associate Power of Attorney</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	International Business Machines Corporation 8501 IBM Drive, Intellectual Property Law Dept. Charlotte, NC 28262-4333
Signature	<i>Norman K. Hundel</i> Reg # 30387
Date	<i>Nov 1, 2001</i>

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

*Nov 01, 2001*

Typed or printed name	<b>James D. Palmer</b>
Signature	<i>James D. Palmer</i>
Date	<i>November 01, 2001</i>